



Patent  
Attorney's Docket No. 033275-214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Thomas BAUMANN et al. ) Group Art Unit: 1733  
Application No.: 09/852,788 ) Examiner: Jessica Rossi  
Filed: May 11, 2001 ) Confirmation No.: 5192  
For: INSULATION OF STATOR WINDINGS )  
WITH SHRINK-ON SLEEVES )

**RECEIVED**  
**OCT 21 2003**  
**TC 1700**

**THIRD**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, hereby the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

Also enclosed is a copy of the European Search Report issued in connection with the corresponding European application.

The documents are being submitted after a first-Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) a statement is enclosed.

I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 17, 2003

By:  

Jeffrey G. Killian  
Registration No. 50,891

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Alexandria, Virginia 22313-1404  
(703) 836-6620

Translation of the explanations of enclosure 2 of the search report of the European Patent Office ("Europäisches Patentamt") explaining the symbol letters stating the relevancy of the cited references:

### Explanations

Column 1: Category of Named Documents

- X: Reference which by itself is considered to have special significance
- Y: Reference which in combination with another reference in the same category is considered to have special significance
- A: Technological background
- O: Disclosure not in writing
- P: In-between literature
- T: Theories or principles basic to the invention
- E: Older patent document published on or after filing date
- D: Reference cited in the patent application
- L: Reference cited for other reasons
- &: Member of same patent family, corresponding document

Column 2: Identification of document, specifying the relevant portions, if necessary

Column 3: Concerned claims  
(In this column, the claims allocated to the relevant passages of column 2 are indicated.)

Column 4: Classification of Application (Int. Cl. 7)

Searched Fields (Int. Cl. 7)

Substitute for forms 1449A/PTO &amp; 1449B/PTO

ATTORNEY'S DKT NO.

033275-214

APPLICATION NO.

09/852,788

**THIRD INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICANT

Thomas BAUMANN et al.

FILING DATE

May 11, 2001

GROUP

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**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	3,049,801		WORKMAN	08-21-1962
	3,106,771		SPIRO	10-15-1963
	3,515,798		SIEVERT	06-02-1970

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes No
	1 426 617		Great Britain	03-03-1976	
	1 514 924		Great Britain	06-21-1978	
	0 530 952	A1	EPO	03-10-1993	
	1 490 435		Germany	05-22-1969	X

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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#15/UXE

10/22/03

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Group Art Unit: 1733

Examiner: Jessica Rossi

Confirmation No.: 5192

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

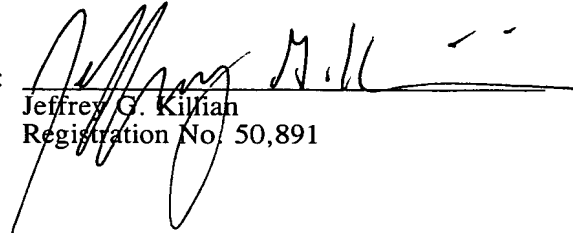
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 17, 2003

By:   
Jeffrey G. Killian  
Registration No. 50,891

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